

Summary of Internal Audit Work Undertaken 2015-16

Type	Audit Name	Final Report Issued (or position @ 20/5/16)	Audit Opinion	HI Rec
GO	Business Strategy/Transformation - governance	Final 5/5/16	Substantial Assurance	No
GO	Information Management	Draft issued 12/5/16	Substantial Assurance	No
GO	Governance Framework	Draft issued 20/5/16	Substantial Assurance	No
GO	Annual Governance Statement 14/15	Completed	No opinion	No
GO	Annual Governance Statement 15/16	12/01/16	No opinion	No
GO	Transparency	Findings issued	No opinion	No
RM	Risk Management 15/16	Final 2/2/16	Substantial Assurance	No
RM	Public Contract Regulations - framework	Final 6/4/16	Substantial Assurance	No
RM	Business Strategy/Transformation - projects	Final 17/5/16	Substantial Assurance	No
RM	Customer Satisfaction	Draft issued 17/5/16	Substantial Assurance	No
RM	Counter Fraud - NFI specific	Complete	No opinion	No
RM	Counter Fraud	Complete	No opinion	No
RM	ICT applications – Aurora upgrade	Stage 1 complete	No opinion	No
IC	Trading performance	Final 27/4/16	Full Assurance	No
IC	Servicing Authority	Final 1/3/16	Substantial Assurance	No
IC	Distribute Surplus - design model	Draft issued 14/4/16	Substantial Assurance	No
IC	General Ledger Reconciliations	Draft issued 21/4/16	Substantial Assurance	No
IC	BACS	Draft issued 12/5/16	Substantial Assurance	No
IC	IT General Controls	Draft issued 17/5/16	Substantial Assurance	No

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